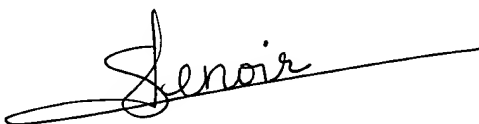


## DECLARATION

I, Sophie LENOIR of BREVALEX – 3 rue du Docteur Lancereaux – 75008 PARIS, do hereby declare that I am conversant with the English and French languages and that the following is a true and correct translation into English of the French patent application n° 99 05460 filed on April 29, 1999 for "Cyclodextrines amphiphiles, leur préparation et leur utilisation pour solubiliser des systèmes organisés et incorporer des molécules hydrophobes".

Signed this September 11, 2003

A handwritten signature in black ink, appearing to read 'S. Lenoir', with a long horizontal stroke extending to the right.

S. LENOIR

INTERNATIONAL UNION OF PURE AND APPLIED CHEMISTRY  
ORGANIC CHEMISTRY DIVISION  
COMMISSION ON NOMENCLATURE OF ORGANIC CHEMISTRY  
COMMISSION ON PHYSICAL ORGANIC CHEMISTRY

**GLOSSARY OF CLASS NAMES  
OF ORGANIC COMPOUNDS  
AND REACTIVE INTERMEDIATES  
BASED ON STRUCTURE**

**(IUPAC Recommendations 1994)**

<http://www.chem.qmul.ac.uk/iupac/class/>

Prepared for publication by: G. P. Moss, P. A. S. Smith, D. Tavernier.

Composition of the Joint Working Party (1980-1994): H. J. T. Bos, A. J. Boulton, E. W. Godly, P. Grünanger, A. D. McNaught, G. P. Moss, R. Panico, J. Rigaudy, P. A. S. Smith (*Convenor*), J. H. Stocker, D. Tavernier, (from Commission III.1); R. A. Y. Jones, J. March, J. M. McBride, P. Müller (*Convenor*) (from Commission III.2).

**World Wide Web version Prepared by G. P. Moss**

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The definitions used in this glossary are identical to those in the published document, see G.P. Moss, P.A.S. Smith and D. Tavernier, *Pure and Applied Chemistry*, **67**, 1307-1375 (1995) [Copyright IUPAC; reproduced with the permission of IUPAC]. If you use any of these definitions please cite this reference as their source.

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As well as an Introduction all class names included are listed alphabetically in the following files: A; B; C; D,E; F,G; H; I; K,L; M; N; O; P; Q,R; S; T; U-Z. Each class name then leads to a file of definitions of class names of related substances. These in turn may lead to other files from cross-references. Related classes are grouped together under the following headings.

**1. Carbon, Hydrogen and Oxygen:**

- Acyclic Hydrocarbons
- Ring systems
- Alcohols, Ethers, Phenols and Derivatives
- Aldehydes, Ketones, Quinones and Derivatives (See also oximes, azines, etc. under Nitrogen)

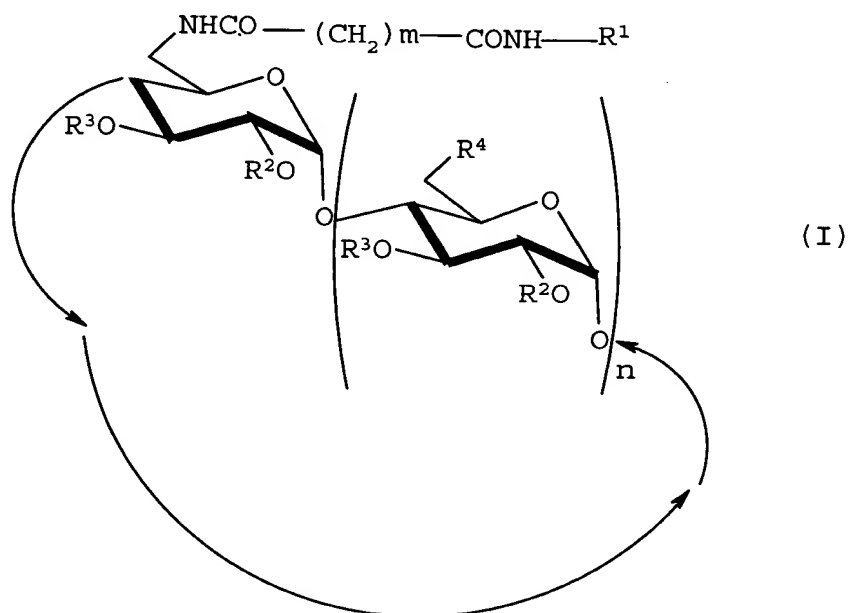
- Carboxylic acids and derivatives (See also amides, amidines, etc. under Nitrogen)
- 2. **Based on Other Elements:**
  - Boron
  - Halogens
  - Metals
  - Nitrogen (one N atom only)
  - Nitrogen (two or more N atoms)
  - Phosphorus, Arsenic, Antimony and Bismuth
  - Silicon, Germanium, Tin and Lead
  - Sulfur, Selenium and Tellurium
- 3. **Natural Products:**
  - Antibiotics ( $\beta$ -lactams and tetracyclins)
  - Carbohydrates (and cyclitols)
  - Lipids
  - Nucleic acids and component units
  - Terpenoids including retinoids and steroids
  - Tetrapyrroles and related compounds
  - Others natural products
- 4. **Others:**
  - Ions and Radicals
  - Miscellaneous.

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Return to main IUPAC Chemical Nomenclature home page

# ABSTRACT OF THE DISCLOSURE

The invention relates to cyclodextrin derivatives according to the formula:



5        wherein:

- $R^1$  represents a group derived from a steroid,
- $R^2$  represents an alkyl or aryl group, substituted if applicable,
- $R^3$  represents H or  $R^2$ ,
- 10        - all the  $R^4$  represent  $OR^2$ , or one of the  $R^4$  represents  $-NHCO(CH_2)_mCONHR^1$ ,

Said derivatives are used to transport hydrophobic molecules for pharmaceutical or cosmetic applications, by forming organised systems in an aqueous medium,  
15    alone or with phospholipids.

AMPHIPHILIC CYCLODEXTRINS, PREPARATION AND USE OF SAID  
CYCLODEXTRINS TO SOLUBILISE ORGANISED SYSTEMS AND  
INCORPORATE HYDROPHOBIC MOLECULES

Field of the invention

The present invention relates to new cyclodextrin derivatives, that can particularly be used to incorporate in aqueous media hydrophobic chemical compounds such as pharmaceutically active molecules,  
5 molecules with cosmetic applications and molecules used as contrast agents for medical imaging.

More specifically, it relates to amphiphilic cyclodextrin derivatives showing self-organisation properties in aqueous media and liable to be  
10 incorporated in organised surfactant systems resulting in the formation of combined systems.

Said incorporation in organised surfactant systems such as small phospholipid vesicles is intended to enable the transport of hydrophobic molecules included  
15 in the cyclodextrin, for example an active ingredient, particularly by the transmembrane route, for example the transdermal route.

State of the related art

20 Cyclodextrins or cyclomaltooligosaccharides are natural compounds formed by the sequencing of 6, 7 or 8 glucose units bonded in  $\alpha$ -1 $\rightarrow$ 4 mode. Numerous studies have shown that these compounds could form inclusion complexes with hydrophobic molecules, thus enabling  
25 their solubilisation in aqueous media. Numerous applications have been proposed to benefit from this

phenomenon, particularly in the pharmaceutical field, as described by D. Duchêne, in "Pharmaceutical Applications of Cyclodextrins", published in "Cyclodextrins and their industrial uses", Editions de Santé, Paris 1987, pp. 213-257 [1]. Pharmaceutical formulations using cyclodextrins have already been introduced onto the market in Japan, Italy and, more recently, in France, for example by Pierre Fabre Médicament for Brexin® which is an inclusion complex of Piroxicam in  $\beta$ -cyclodextrin.

Of the cyclodextrins that may be used,  $\beta$ -cyclodextrin (comprising 7 glucose units) is the most suitable in terms of the size of its cavity and is the least expensive of the three. Chemical modifications of  $\beta$ -cyclodextrin have been described to render it amphiphilic with a view to incorporating it in organised systems.

In this way, amphiphilic cyclodextrins comprising multiple chains on the primary face have been studied. A. Yabe et al described in "Thin Solid Films", (1988), 160, pp. 33-41 [2], the derivative per(6-dodecylamino-6-deoxy)- $\beta$ -cyclodextrin in order to form stable Langmuir-Blodgett layers. Similarly, L. Julien et al described in J. Chem. Soc. Perkin Trans 2, 1993, pp. 1011-1022 [3],  $\beta$ -cyclodextrin derivatives comprising aliphatic chains located in primary and secondary positions, with a view to incorporating said cyclodextrin derivatives in phosphatidylcholine vesicles. Said derivatives are amphiphilic and can be incorporated in the vesicles, but the internal cavity of the cyclodextrin is no longer accessible due to the

significant steric size of the aliphatic chains. Consequently, said derivatives are unable to include hydrophobic molecules, particularly active ingredient molecules.

5           Recently, it was demonstrated by A. Gulik et al in Langmuir (1998), 14, pp. 1050-1057 [4], that so-called "skirted" cyclodextrins, comprising fatty acid chains grafted onto secondary hydroxyls could form stable nanospheres. These molecular super-assemblies appear to  
10 show very promising encapsulation and releasing properties due to the cumulative effects of the specificity of cyclodextrin size and transport, firstly, and nanoparticle organisation, secondly. However, it is necessary to note that the synthesis and  
15 particularly the purification of such cyclodextrins remain very difficult and require long purification steps resulting in low yields. It is clear that the supramolecular organisation properties are drastically linked with the chemical purity of the amphiphilic  
20 cyclodextrin derivatives.

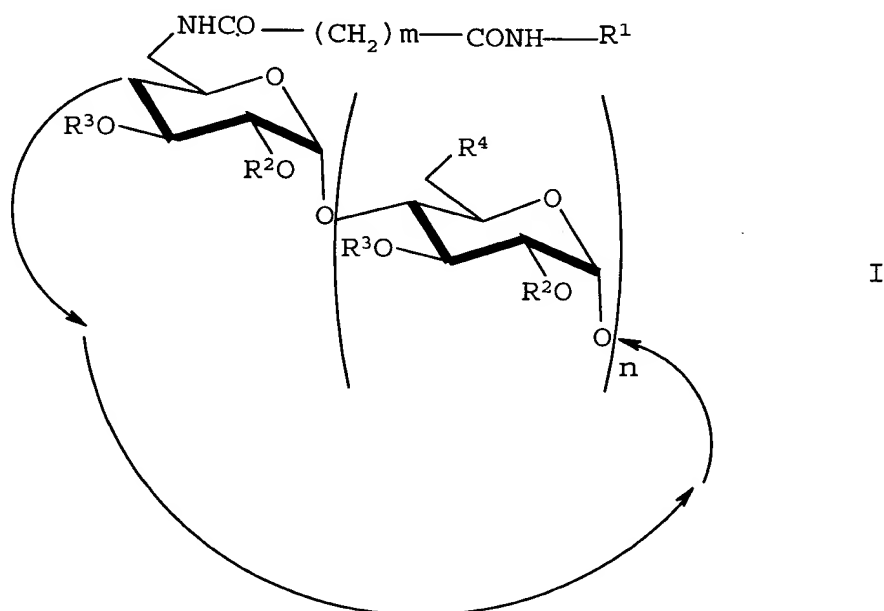
          J. Line et al described in FR-A-2 736 056 [5] and in J. Chem. Soc. Perkin Trans 2, (1998), pp. 2638-2646 [6], the synthesis of cyclodextrin derivatives referred to as "ball joints" comprising one or more aliphatic  
25 chains giving them amphiphilic properties, without inducing a self-inclusion phenomenon of the chain(s) in the cyclodextrin. For this reason, it is possible to obtain from such derivatives inclusion complexes containing a hydrophobic molecule and the incorporation  
30 of said complexes in phospholipid vesicles. However, these molecules have proved to be relatively unstable

in physiological media, i.e. at pH values greater than or equal to 7, and their incorporation abilities in organised systems remain limited. In addition, said "ball joint" molecules do not organise themselves spontaneously in aqueous media to give particles of well-defined size and shape.

#### Description of the invention

The present invention specifically relates to amphiphilic cyclodextrin derivatives, stable in physiological media, able to include hydrophobic compounds, having good incorporation abilities in organised systems and also showing self-organisation properties in aqueous media.

According to the invention, the amphiphilic cyclodextrin derivative complying with the formula:



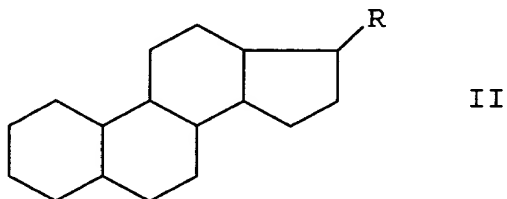
wherein:

-  $R^1$  represents a group derived from a steroid,



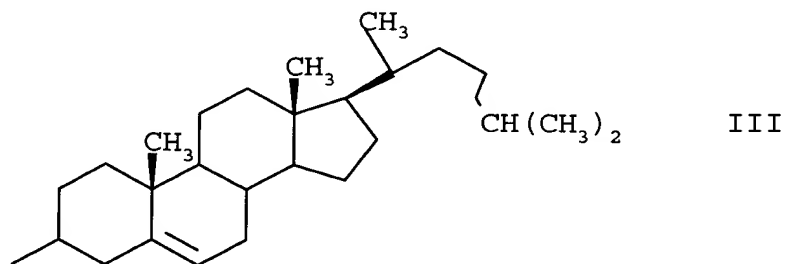
- $R^2$  represents an alkyl or aryl group, substituted if applicable,
- $R^3$  represents H or  $R^2$ ,
- all the  $R^4$  represent  $OR^2$ , or
- 5     - one of the  $R^4$  represents  $-NHCO(CH_2)_mCONHR^1$ , and the other  $R^4$  represent  $OR^2$  provided that there is at least one glucose unit where  $R^4$  represents  $OR^2$  between the two glucose units comprising the substituent  $-NHCO-(CH_2)_m-CONH-R^1$ ,
- 10     - m is an integer ranging from 1 to 8, and
- n is equal to 5, 6 or 7.

Note that steroids are compounds derived from a polycyclic nucleus according to the formula:



wherein R represents a linear or ramified  
 15 hydrocarbon group of 1 to 9 carbon atoms and wherein the polycyclic nucleus may comprise one or more double bonds, and one or more substituents chosen from  $CH_3$ , OH and O, on one or more carbon atoms of the cycles.

In the cyclodextrin derivative according to the  
 20 invention,  $R^1$  may represent a group derived from sterols by eliminating the hydroxyl group from the first cycle, with a degree of unsaturation of 0 to 6. It may also consist of groups derived from sterones. For example,  $R^1$  may represent a group derived from  
 25 cholesterol such as the group according to the formula:



In the derivative according to the invention, the amphiphilic properties are obtained due to the presence of one or two substituents comprising a group derived from a steroid.

5        If the derivative comprises two substituents of this type, it is necessary for them not be located on two adjacent glucose units of the cyclodextrin, due to their size.

10        In addition, the two glucose units comprising said substituents are separated by one or two glucose units comprising an  $OR^2$  substituent.

Preferentially, the cyclodextrin derivative only comprises a single substituent of this type, with all the  $R^4$  representing  $OR^2$ .

15        In the cyclodextrin derivative according to the invention, the  $R^2$  group represents a linear or ramified alkyl or aryl group, substituted if applicable. If an alkyl group is used, said group generally has 1 to 4 carbon atoms and is preferentially linear. The aryl  
20        group may be, for example, the phenyl group or the benzyl group. Any substituents of said alkyl or aryl groups may be, for example, halogen atoms and hydroxyl, carboxyl and amine groups. Advantageously,  $R^2$  represents the methyl group.

$R^3$  may represent a hydrogen atom or an alkyl group identical or different to  $R^2$ . Preferentially,  $R^3$  represents H.

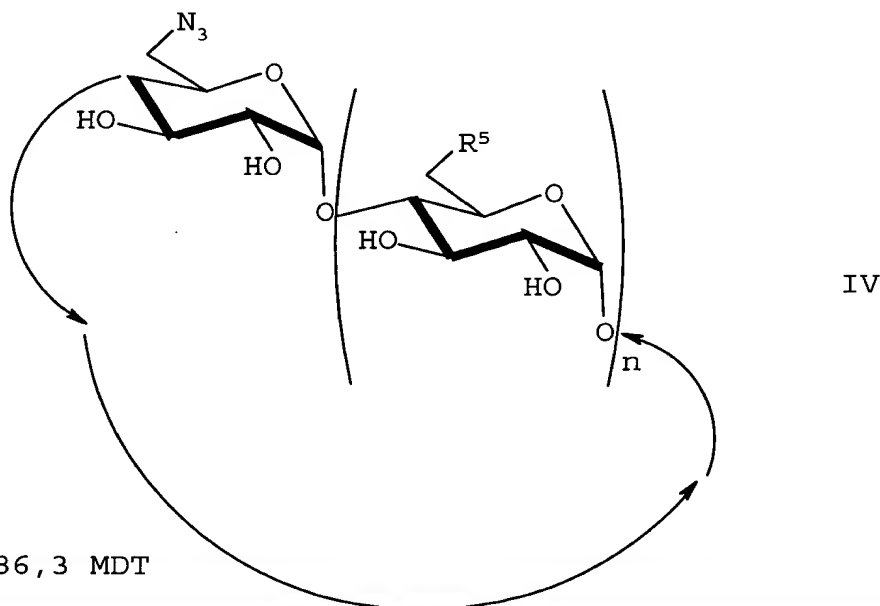
In formula I given above, the aliphatic chain linking the steroid-derived group to the glucose unit may comprise between the two amide groups, of 1 to 8 carbon atoms. Satisfactory results are obtained with two carbon atoms, i.e. where  $m$  is equal to 2.

The cyclodextrin derivatives according to the invention may be  $\alpha$ -,  $\beta$ - or  $\gamma$ -CD derivatives. Preferentially,  $\beta$ -CD derivatives are used, which corresponds in formula I given above to the case where  $n$  is equal to 6.

The cyclodextrin derivatives according to the invention may be prepared using conventional methods from the corresponding mono-azide or diazide cyclodextrin derivatives.

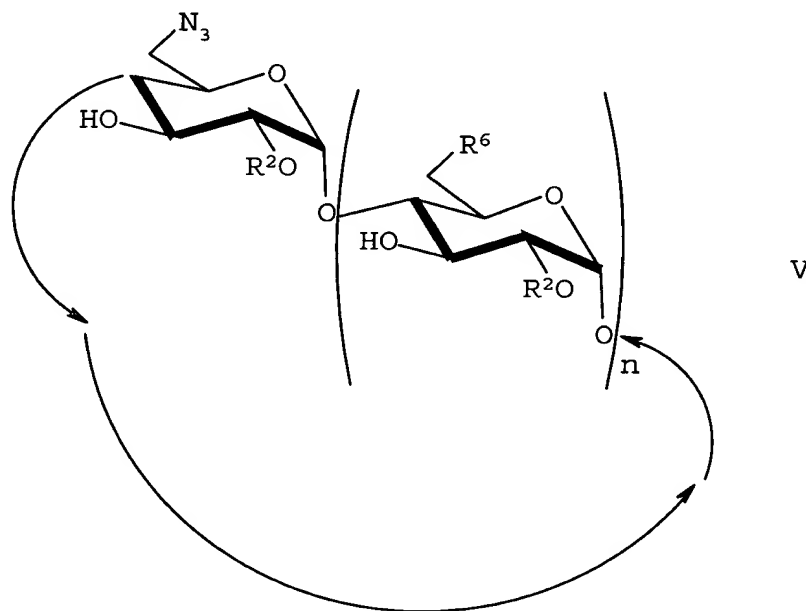
If it is desired to prepare a derivative according to formula I as defined above where  $R^3$  represents a hydrogen atom, the method comprises the following steps:

a) react a derivative according to the formula:



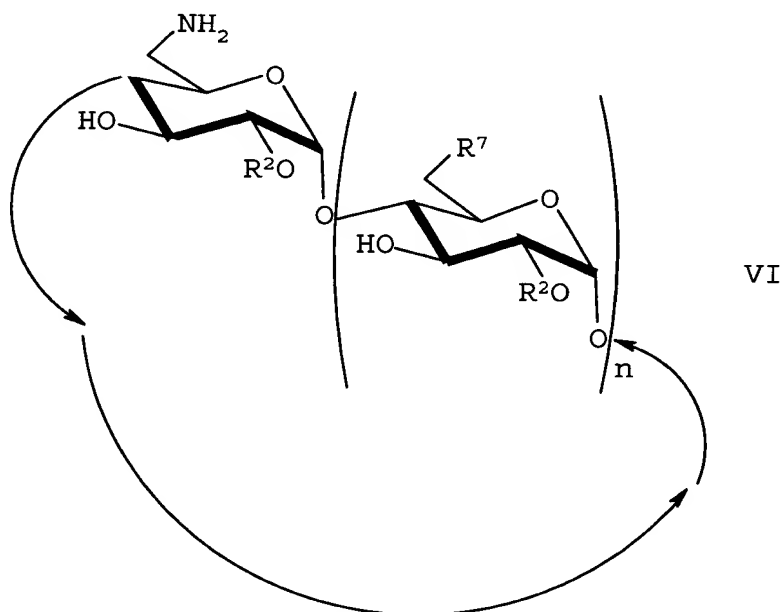
wherein all the  $R^5$  represent OH, or one of the  $R^5$  represents  $-N_3$  and the other  $R^5$  represent OH, provided that there is at least one glucose unit where  $R^5$  represents OH between the two glucose units comprising the  $N_3$  substituent, and  $n$  is equal to 5, 6 or 7,

with a dialkyl sulphate  $SO_4R^2_2$  where  $R^2$  has the significance given above, in a basic medium to obtain the cyclodextrin derivative according to the formula:



wherein all the  $R^6$  represent  $OR^2$ , or one of the  $R^6$  represents  $N_3$  and the other  $R^6$  represent  $OR^2$ , and  $R^2$  and  $n$  are as defined above,

b) perform a Staudinger reaction on the derivative according to formula V using triphenylphosphine and ammonia to convert  $N_3$  into  $NH_2$  and obtain the derivative according to the formula:



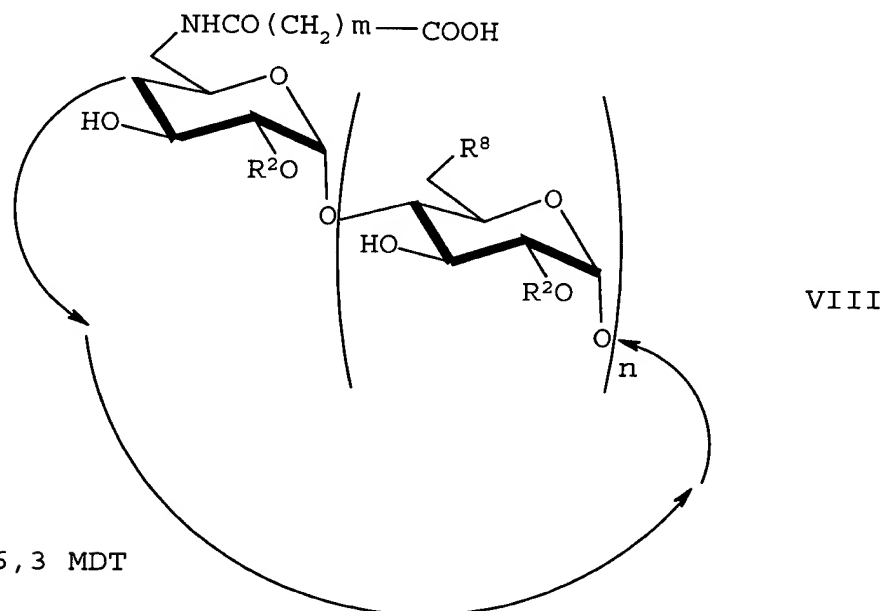
wherein all the  $R^7$  represent  $OR^2$ , or one of the  $R^7$  represents  $NH_2$  and the other  $R^7$  represent  $OR^2$ , and  $R^2$  and  $n$  are as defined above,

c) react the derivative according to formula VI  
5 with an acid anhydride according to the formula:



where  $m$  is as defined above, to obtain the derivative according to the formula:

wherein all the  $R^8$  represent  $OR^2$ , or one of the  $R^8$   
10 represents  $-NHCO-(CH_2)_m-COOH$  and the other  $R^8$



represent  $OR^2$ , and  $R^2$ , m and n are as defined above, and

d) react the derivative according to formula VIII with a compound according to the formula  $NH_2-R^1$  to obtain the cyclodextrin derivative according to formula I defined above.

The monoazide or diazide derivatives used as the starting product in the method may be obtained from the corresponding monotosylate or ditosylate cyclodextrin derivative through the action of lithium nitride in water.

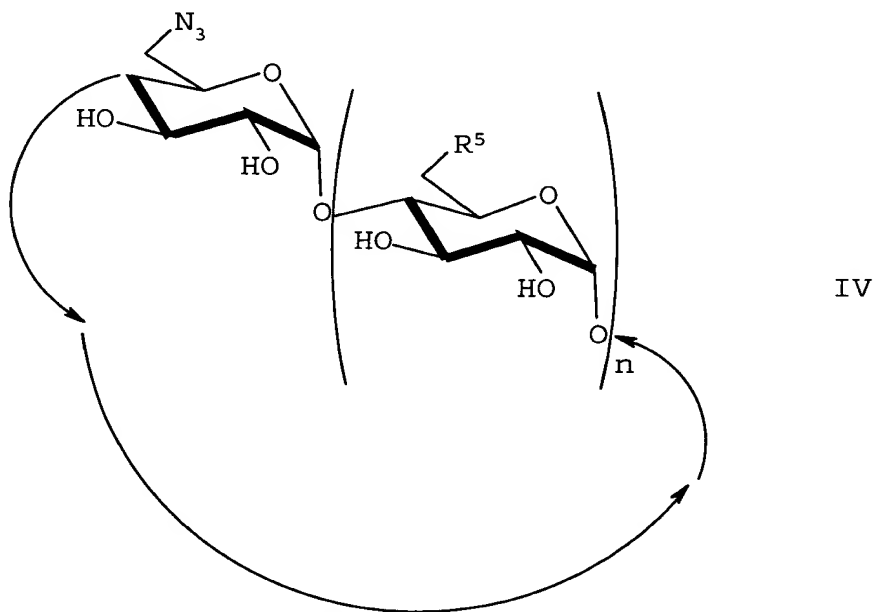
In step a of the method described above, the cyclodextrin derivative according to formula IV is reacted with a dialkyl sulphate  $SO_4R^2_2$  in a mixture of organic solvents such as dimethylformamide DMF and dimethylsulphoxide DMSO in 50:50 proportions by volumes, in the presence of a base such as barium oxide and barium hydroxide, at  $8^\circ C$ . The derivative according to formula V obtained in this way may be separated using the methods described in detail in example 1.

In step b, the derivative according to formula V is reacted with triphenylphosphine in an organic solvent such as DMS and 20% ammonia is then added. The derivative according to formula VI obtained in this way may be purified by evaporating the solvent, eliminating the white precipitate formed by filtration and then separating by ion exchange chromatography. In step c, the derivative according to formula VI is reacted with the acid anhydride according to formula VII desired in an organic solvent such as DMF. The derivative according to formula VIII obtained is not isolated and the next step d is carried out directly in the same

reaction medium. Peptide coupling reagents, such as N,N'-diisopropylcarbodiimide and hydroxybenzotriazole, are then added. The derivative according to formula VIII then reacts with the compound according to the  
 5 formula  $H_2N-R^1$  such as cholest-5-en-3 $\alpha$ -ylamine. The derivative according to formula I obtained in this way may be separated from the reaction medium by evaporating the solvent and purifying by silica gel column chromatography.

10 If it is necessary to prepare a derivative according formula I as defined above, where  $R^3$  represents  $R^2$ , the method comprises the same steps as above, but in step a, alkylation of all the OH groups is performed with an iodoalkane. In this case, the  
 15 following steps are carried out:

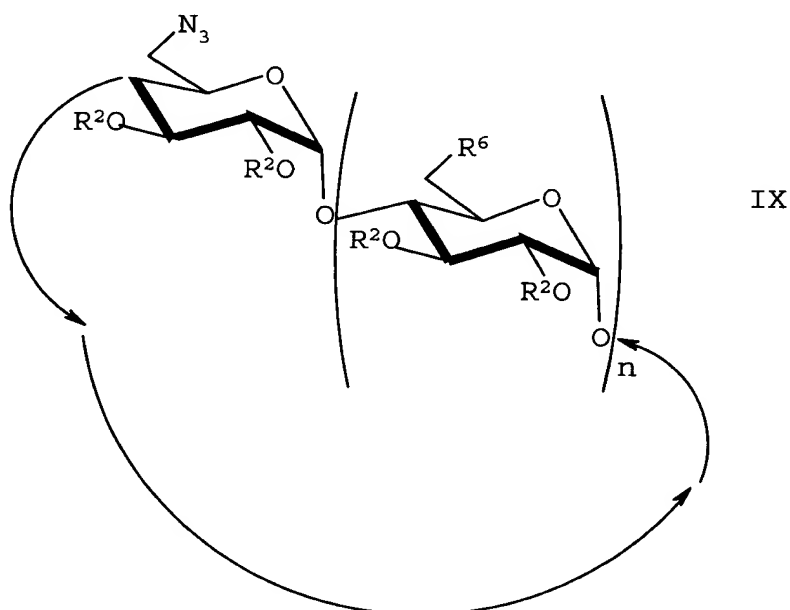
a) react a derivative according to the formula:



wherein all the  $R^5$  represent OH, or one of the  $R^5$  represents  $-N_3$  and the other  $R^5$  represent OH, provided

that there is at least one glucose unit where  $R^5$  represents OH between the two glucose units comprising the  $N_3$  substituent, and  $n$  is equal to 5, 6 or 7,

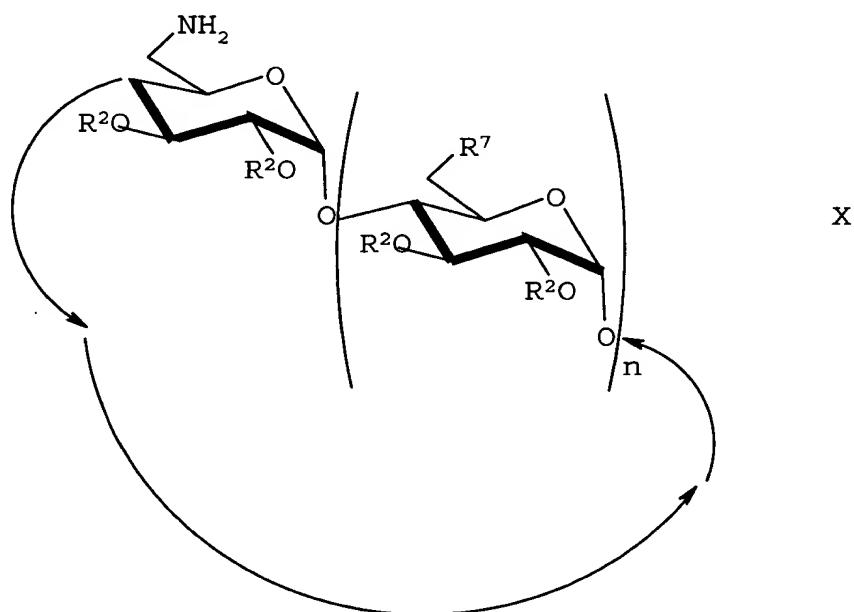
with an iodoalkane according to the formula  $IR^2$  wherein  $R^2$  has the significance given above, in the presence of NaH to obtain the cyclodextrin derivative according to the formula:



wherein all the  $R^6$  represent  $OR^2$ , or one of the  $R^6$  represents  $N_3$  and the other  $R^6$  represent  $OR^2$ , and  $R^2$  and  $n$  are as defined above,

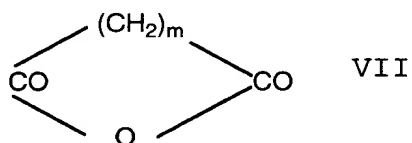
b) perform a Staudinger reaction on the derivative according to formula IX using triphenylphosphine and ammonia to convert  $N_3$  into  $NH_2$  and obtain the derivative according to the formula:



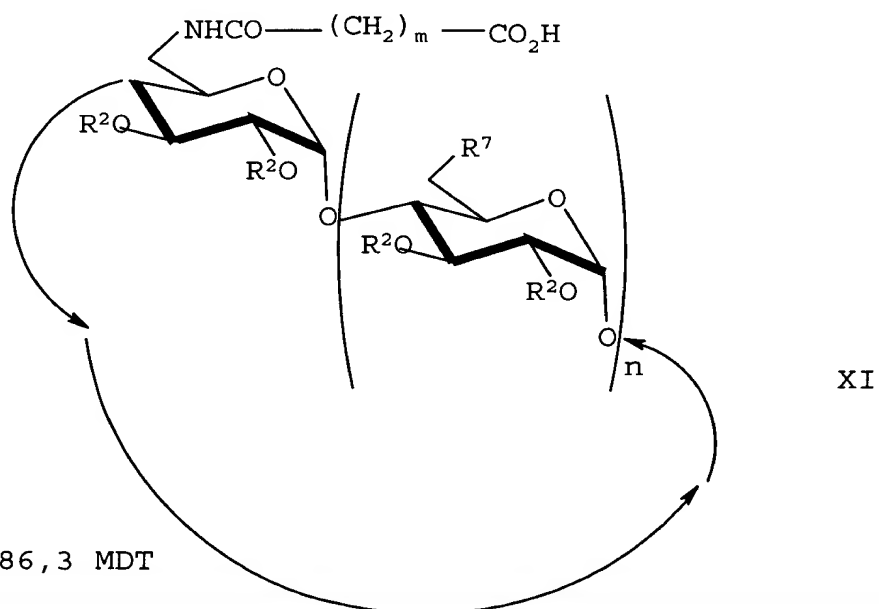


wherein all the  $R^7$  represent  $OR^2$ , or one of the  $R^7$  represents  $NH_2$  and the other  $R^7$  represent  $OR^2$ , and  $R^2$  and  $n$  are as defined above,

- 5 c) react the derivative according to formula X with an acid anhydride according to the formula:



where  $m$  is as defined above, to obtain the derivative according to the formula:



wherein all the  $R^7$  represent  $OR^2$ , or one of the  $R^7$  represents  $-NHCO-(CH_2)_m-COOH$  and the other  $R^7$  represent  $OR^2$ , and  $R^2$ ,  $m$  and  $n$  are as defined above, and

d) react the derivative according to formula XI  
5 with a compound according to the formula  $NH_2-R^1$  to obtain the cyclodextrin derivative according to formula I defined above.

The invention also relates to the inclusion complexes of the cyclodextrin derivative according to  
10 formula I with a hydrophobic compound in an aqueous medium. The hydrophobic chemical compounds liable to be solubilised in aqueous media by means of said cyclodextrin derivatives I may be of different types. Examples of such compounds include cosmetic products,  
15 vitamins, pharmaceutically active molecules and molecules used as contrast agents for medical imaging, for example, the compounds described by Uekama and Irie in Chemical Review (1998), 98, pp. 2045-2076 [7].

Preferentially in the invention, the hydrophobic  
20 chemical compound is a pharmaceutically active molecule. Examples of such molecules include steroids, for example prednisolone, neurotropes such as dothiepin, bacteriostatics such as chloramphenicol, vitamins such as vitamin A, vascular wall tonics such  
25 as esculin, and contrast agents for medical imaging such as 16-iodo-3-methylhexadecanoic acid.

Said inclusion complexes may be prepared using conventional methods, for example by adding to a solution or suspension of the formula I cyclodextrin  
30 used, a solution of hydrophobic compound in a suitable organic solvent, for example acetone.

The formula I cyclodextrin derivatives are characterised in that they organise themselves spontaneously in aqueous media to give nanoparticles from 25 to 30 Å of mean radius and perfectly spherical in shape. The mean number of monomers is 24 cyclodextrin derivative molecules per nanoparticle. In addition, the invention also relates to an aqueous solution of nanoparticles of a cyclodextrin derivative according to formula I alone or in the form of an inclusion complex with a hydrophobic compound.

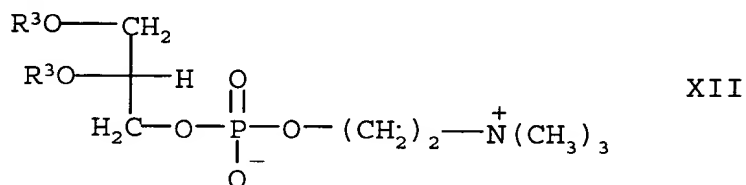
Said nanoparticle solution may be prepared by forming an aqueous solution of the cyclodextrin derivative or an inclusion complex of said derivative having a derivative or complex concentration greater than the critical micellar concentration of the derivative.

The self-organisation of amphiphilic cyclodextrins into nanoparticles in an aqueous medium makes it possible to transport a hydrophobic molecule, for example an active ingredient, particularly by the transmembrane or parenteral route.

Moreover, the cyclodextrin derivatives according to the invention are of particular interest since they can be incorporated into organised surfactant systems such as small phospholipid vesicles or micellae. Said incorporation is intended to enable the solubilisation of organised systems, with a view to transporting active ingredients included in the cyclodextrin derivative.

In addition, the invention also relates to an organised surfactant system comprising a cyclodextrin

derivative or an inclusion complex of said derivative according to the invention. The surfactants liable to form such organised systems may be of different types. Examples include the phospholipids complying with the  
 5 following general formula:



wherein  $\text{R}^3$  represents  $\text{CH}_3-(\text{CH}_2)_p-\text{CO}$  where  $p$  is an integer ranging from 6 to 18. Said phospholipids are capable of forming small unilamellar vesicles. This particularly applies to dimyristoylphosphatidylcholine  
 10 DMPC which complies with the above formula where  $p = 12$ .

To incorporate the cyclodextrin derivative or an inclusion complex of said derivative according to the invention in the organised surfactant system, it is  
 15 possible to form small DMPC vesicles beforehand by sonication and then add in the aqueous solution the cyclodextrin derivative or the inclusion complex. The combined system obtained in this way then becomes perfectly soluble in water, resulting in a clear  
 20 solution. The combined system obtained in this specific case is a combined micella of a mean radius of 60 Å.

In addition, the invention also relates to an aqueous solution comprising in solution a combined system formed from phospholipid or membrane protein  
 25 vesicles, and at least one cyclodextrin derivative or at least one cyclodextrin derivative inclusion complex according to the invention.

Such solutions are beneficial since they enable the transport of hydrophobic molecules, for example an active ingredient, by the transmembrane or parenteral route, for pharmaceutical or cosmetic applications.

5       The invention's other characteristics and advantages will be seen more clearly upon reading the following examples, which are naturally illustrative and not exhaustive, with reference to the appended figures 1 to 5.

10

#### Brief description of figures

Figure 1 illustrates the experimental neutron diffusion spectrum, on a logarithmic scale, of an aqueous solution of nanoparticles of the cyclodextrin derivative obtained in example 1 with three theoretical curves of spherical micellae, cylindrical micellae and double layers.

Figure 2 illustrates the appearance of different cyclodextrin and phospholipid mixtures.

20       Figures 3, 4 and 5 illustrate the  $^{31}\text{P}$  nuclear magnetic resonance spectra obtained from sample a (figure 3), b (figure 5) and d (figure 4), respectively, in example 3.

Figure 6 illustrates the experimental neutron diffusion spectrum, on a logarithmic scale, of a DMPC/cyclodextrin derivative mixture (sample d) obtained in example 3 with three theoretical curves of spherical micellae, cylindrical micellae and double layers.

30       Figure 7 illustrates the experimental neutron diffusion spectrum, on a logarithmic scale, of mixtures

of 16-iodo-3-methylhexadecanoic acid and the cyclodextrin derivative in example 1 (1/1 and 0.1/1 mol) and the neutron diffusion spectrum of the cyclodextrin derivative in example 1 alone with the  
 5 theoretical diffusion curve of nanoparticles alone.

#### Detailed description of embodiments

Example 1: Synthesis of mono-6-(cholest-5-en-3 $\alpha$ -ylamide)succinylamide-6-deoxy-2,2',2'',2''',2''''',2''''',2''''',6',6'',6''',6''''',6''''',6'''''-trideca-O-methyl-cyclomaltoheptaose.  
 10

This compound is the derivative according to formula I where R<sup>1</sup> represents the group according to  
 15 formula III, R<sup>2</sup> is the methyl group, R<sup>3</sup> represents H, all the R<sup>4</sup> represent OCH<sub>3</sub>, m is equal to 2 and n is equal to 6.

a) Preparation of mono-6-azide-6-deoxy-2,2',2'',2''',2''''',2''''',2''''',6',6'',6''',6''''',6''''',6'''''-trideca-O-methyl-cyclomaltoheptaose.  
 20

In a flask, 2 g (1.7 mmol) of mono-6-azide-6-deoxy-cyclomaltoheptaose (obtained for example according to the protocol described in Tetrahedron Lett. (1993), 34, pp. 2457-2460 [8] and J. Chem. Soc. Perkin Trans 2 (1995), pp. 723-730) [9]) is dissolved  
 25 in 15 ml of anhydrous dimethylsulphoxide. This solution is supplemented with 15 ml of anhydrous dimethylformamide DMF. In a nitrogen atmosphere and under vigorous stirring, 3.8 g (~12 mmol) of barium  
 30 hydroxide octohydrate and 3.6 g (~24 mmol) of barium oxide are then added. After homogenising the medium, 8

ml of dimethyl sulphate (~84 mmol) is added, and the mixture is left under vigorous stirring, in a nitrogen atmosphere, for 30 hours at 8°C. The milky suspension is then supplemented with 5 ml of 20% ammonia and stirred for 3 hours at ambient temperature. The suspension is allowed to settle overnight in a refrigerator. After concentrating the supernatant at reduced pressure, the residual solid is taken up with 100 ml of dichloromethane, and two more times with 50 ml of dichloromethane. The organic phases are pooled, washed 3 times with 20 ml of an aqueous solution saturated with sodium chloride, twice with 20 ml of water, dried on magnesium sulphate and concentrated at reduced pressure. The product is precipitated by adding 100 ml of n-hexane, filtered, washed with 100 ml of n-hexane and dried in a vacuum.

0.80 g (0.60 mmol) of mono-6-azide-6-deoxy-2,2',2'',2''',2''''',2''''',2''''',6',6'',6''',6''''',6''''',6''''''-trideca-O-methyl-cyclomaltoheptaose, which is presented in the form of a white powder, is collected.

b) Preparation of mono-6-amino-6-deoxy-2,2',2'',2''',2''''',2''''',2''''',6',6'',6''',6''''',6''''',6''''''-trideca-O-methyl-cyclomaltoheptaose

0.75 g (0.56 mmol) of the compound obtained in a is dissolved in 30 ml of DMF. To this solution, 0.75 g (2.86 mmol) of triphenylphosphine in 5 ml of DMF is added drop by drop, under stirring at ambient temperature. The reaction medium is maintained at ambient temperature for 2 hours, cooled to 0°C and treated with 14 ml of 20% ammonia. It is left for 18

hours at ambient temperature under stirring, and the solvent is then eliminated at reduced pressure and the residual solid is taken up with 30 ml of water. The insoluble excess of triphenylphosphine and the  
 5 corresponding oxide is eliminated by filtration. The solution is concentrated in a vacuum and the product is purified by ion exchange resin column chromatography (Lewatit SP 1080 resin in  $H^+$  form). 0.35 g (0.27 mmol) of  
 10 2''''''',6',6'',6''',6''''',6''''''',6''''''''-trideca-O-methyl-cyclomaltoheptaose, which is presented in the form of a white powder, is collected.

c) Preparation of mono-6-(cholest-5-en-3 $\alpha$ -ylamide)succinylamide-6-deoxy-2,2',2'',2''',2''''',  
 15 2''''''',2''''''''',6',6'',6''',6''''',6''''''',6''''''''-trideca-O-methyl-cyclomaltoheptaose.

To a solution of 0.25 g (0.19 mmol) of the compound obtained in b in 6 ml of anhydrous DMF, 0.019 g (0.19 mmol) of succinic anhydride in 2 ml of  
 20 anhydrous DMF is added in a nitrogen atmosphere under stirring. The reaction medium is maintained at ambient temperature for 5 hours, and then supplemented with 0.11 ml (0.76 mmol) of N,N'-diisopropylcarbodiimide and 0.028 g (0.19 mmol) of hydroxybenzotriazole in 2 ml of  
 25 anhydrous DMF. After 30 minutes of stirring at ambient temperature, 0.089 g (0.23 mmol) of cholest-5-en-3 $\alpha$ -ylamine (obtained in two steps from cholest-5-en-3 $\beta$ -ol according to the protocols described in Tetrahedron Lett. (1977), pp. 1977-1980 [10]) is added. The  
 30 reaction medium is left under stirring at ambient temperature for 48 hours, hydrolysed by adding 0.30 ml



of water and concentrated under reduced pressure. The residual solid is purified by silica gel column chromatography (60 Fluka silica gel; eluent: CH<sub>2</sub>Cl<sub>2</sub>-MeOH 95:5 followed by 9:1 (v/v)). 0.24 g of mono-6-(cholest-5-en-3 $\alpha$ -ylamide)succinylamide-6-deoxy-2,2',2'',2''',  
 5 2''''',2''''',2''''',6',6'',6''',6''''',6''''',6'''''-trideca-O-methyl-cyclomaltoheptaose is collected.

(71% final compound yield from mono-6-amino-6-deoxy-2,2',2'',2''',2''''',2''''',2''''',6',6'',6''',  
 10 6''''',6''''',6'''''-trideca-O-methyl-cyclomaltoheptaose).

The characteristics of this compound are as follows:

- Thin layer chromatography (Merck Silica Plates)  
 15 R<sub>f</sub> = 0.50 in the 9:1 (v/v) CH<sub>2</sub>Cl<sub>2</sub>-MeOH mixture, detection with 10% H<sub>2</sub>SO<sub>4</sub>.

- Mass spectrometry: ESI-MS: m/z = 1805.95 [M+Na]<sup>+</sup> for C<sub>86</sub> H<sub>146</sub> N<sub>2</sub>O<sub>36</sub>Na.

- NMR <sup>1</sup>H (500 MHz, 25°C, 7 mM solution in CDCl<sub>3</sub>):  
 20 attribution by COSY and relay COSY experiments:  $\delta$  = 6.49 (NH CD), 5.70 (NH Chol), 5.38 (H-6 Chol), 5.28-4.88 (H-1, OH-3 CD), 4.11 (H-3 Chol), 3.99-3.16 (H-2, H-3, H-4, H-5, H-6, H-6', OCH<sub>3</sub> CD), 2.60-2.48 (CH<sub>2</sub> succ, H-4 Chol), 2.04-0.68 (H Chol).

25

Example 2: Preparation of mono-6-(cholest-5-en-3 $\alpha$ -ylamide)succinylamide-6-deoxy-2,2',2'',2''',2''''',2''''',2''''',6',6'',6''',6''''',6''''',6'''''-trideca-O-methyl-cyclomaltoheptaose nanoparticles.

30 The mono-6-(cholest-5-en-3 $\alpha$ -ylamide)succinylamide-6-deoxy-2,2',2'',2''',2''''',2''''',2''''',6',6'',

6''',6''',6''',6''''-trideca-O-methyl-  
cyclomaltoheptaose nanoparticles are prepared simply by  
forming an aqueous solution of this cholesteryl-  
cyclodextrin at a concentration greater than its  
5 critical micellar concentration cmc.

The cmc of the cyclodextrin in example 1 was  
determined with surface tension measurements. The value  
of the cmc is  $9 \cdot 10^{-6}$  mol/l.

The mean hydrodynamic diameter of the  
10 nanoparticles was measured by quasi-elastic light  
diffusion. The mean diameter MD value calculated  
according to the Stokes-Einstein approximation based on  
the interaction-free perfect sphere model is 0.6 nm (60  
Å). The static light diffusion analysis of aqueous  
15 solutions of nanoparticles at different concentrations  
( $2.5 \cdot 10^{-3}$ ,  $5 \cdot 10^{-3}$  and  $10^{-2}$  mol/l) gives a mean aggregate  
mass of 43,000 g/mol, which is equivalent to a mean of  
24 monomers per nanoparticle.

The perfectly spherical shape and the size of the  
20 nanoparticles were confirmed by neutron diffusion. The  
diffusion spectrum obtained from a  $10^{-2}$  mol/l-solution  
in D<sub>2</sub>O of the cyclodextrin derivative in example 1 is  
represented in figure 1 (spectrum 1).

In figure 1, the theoretical spectra simulating  
25 spheres (spectrum 2), cylinders (spectrum 3) or  
lamellae (spectrum 4) formed from the cyclodextrin  
derivative in example 1, at a concentration of  $10^{-2}$   
mol/l in D<sub>2</sub>O, are also shown. Superimposing spectrum 2  
simulating spheres with experimental spectrum 1 proves  
30 the spherical shape of the cholesteryl-cyclodextrin  
aggregates. These aggregates are lined on the surface

with cyclodextrin cavities available for the inclusion of hydrophobic active molecules, the core being composed of cholesterol groups. The theoretical spectrum simulating spheres gives a mean diameter of  
 5 0.5 nm (50 Å) and a mean number of monomers per nanoparticle of 24.

Example 3: Preparation of combined mono-6-(cholest-5-en-3 $\alpha$ -ylamide)succinylamide-6-deoxy-

10 2,2',2'',2''',2''''',2''''',2''''',6',6'',6''',6''''',6''''',6''''''-trideca-O-methyl-cyclomaltoheptaose and  
Di-Myristoyl-Phosphatidyl-Choline DMPC systems.

An aqueous  $15 \cdot 10^{-3}$  mol/l DMPC solution is prepared either in the form of multilamellar large vesicles  
 15 MLVs, or in the form of small unilamellar vesicles SUVs, by following the preparation protocols described for example in "Liposomes: a practical approach", R.R.C. New Ed., IRL Press, Oxford University Press, 1990 [11]. To the DMPC MLV or SUV suspension, the  
 20 cyclodextrin in example 1 is added such that the final cyclodextrin concentration in the aqueous cyclodextrin/DMPC mixture is  $0.5 \cdot 10^{-3}$  or  $2.5 \cdot 10^{-3}$  mol/l.

Figure 2 illustrates the appearance of the different mixtures after 12 hours at 25°C. Tube a  
 25 contains an aqueous suspension of 15 mM DMPC unilamellar vesicles. Tubes c and d correspond to the following DMPC/cyclodextrin mixtures:  $15 \cdot 10^{-3}/0.5 \cdot 10^{-3}$  and  $15 \cdot 10^{-3}/2.5 \cdot 10^{-3}$  mol/l respectively. Tube b is a "control" tube corresponding to the  $15 \cdot 10^{-3}/2.5 \cdot 10^{-3}$   
 30 mol/l DMPC/heptakis(2,6-di-O-methyl)cyclomaltoheptaose

mixture, i.e. a mixture of DMPC with a cyclodextrin comprising no steroid substituent.

The different mixtures are examined by  $^{31}\text{P}$  NMR spectroscopy at 81 MHz.

5        Figure 3 represents the spectrum corresponding to tube a (DPMC only).

Figure 4 represents the spectrum corresponding to tube d (DMPC/cyclodextrin mixture;  $15 \cdot 10^{-3} / 2.5 \cdot 10^{-3}$  mol/l).

10       Figure 5 represents the spectrum corresponding to tube b (DMPC/cyclodextrin mixture according to the prior art).

The existence of small unilamellar DMPC vesicles in tube a is confirmed on the spectrum in figure 3 by  
15 the presence of two very thin peaks at around 0 ppm corresponding to the phosphors located inside and outside the vesicles.

The spectrum in figure 4, which corresponds to sample d, which is perfectly transparent, is reduced to  
20 a single thin peak centred at 0 ppm, indicating the presence of smaller aggregates than the unilamellar vesicles in tube a. The spectrum corresponding to the "control" tube b indicates the formation of larger vesicles than the initial vesicles. There is no  
25 reorganisation of the medium with this cyclodextrin.

In tube c, the quantity of cyclodextrin according to the invention is too low with reference to the quantity of DMPC to produce a transparent solution as in tube d. A two-phase mixture is obtained.

30       The mean hydrodynamic diameter of the combined aggregates in sample d was measured by quasi-elastic

light diffusion. The mean diameter MD value calculated according to the Stokes-Einstein approximation based on the interaction-free perfect sphere model is 13 nm (130 Å).

5 Sample d was then examined by neutron diffusion.

Figure 6 illustrates the diffusion spectrum obtained (spectrum 5). In figure 6, the theoretical spectra simulating spheres (spectrum 6), cylinders (spectrum 7) or lamellae (spectrum 8) formed from the  
10 cyclodextrin derivative in example 1, at a ratio of  $15.10^{-3}/2.5.10^{-3}$  mol/l in D<sub>2</sub>O, are also shown. Superimposing spectrum 6 simulating spheres with experimental spectrum 5 proves the spherical shape of the combined aggregates of DMPC/cyclodextrin according  
15 to example 1. These combined spherical systems comprise on their surface cyclodextrin cavities available for the inclusion of hydrophobic active molecules. The theoretical spectrum simulating spheres gives a mean diameter of 10.8 nm (108 Å)

20

Example 4: Preparation of inclusion complexes of the mono-6-(cholest-5-en-3 $\alpha$ -ylamide)succinylamide-6-deoxy-2,2',2'',2''',2''''',2''''',2''''',6',6'',6''',6''''',6''''',6''''''-trideca-O-methyl-cyclomaltoheptaose  
25 compound with various hydrophobic active molecules.

To an aqueous solution of mono-6-(cholest-5-en-3 $\alpha$ -ylamide)succinylamide-6-deoxy-2,2',2'',2''',2''''',2''''',2''''',6',6'',6''',6''''',6''''',6''''''-trideca-O-methyl-cyclomaltoheptaose nanoparticles  
30 obtained as in example 2, the hydrophobic compound is simply added either directly, or in solution in a

suitable organic solvent, for example acetone, which is allowed to evaporate slowly in air.

Various hydrophobic active molecules were tested and proved to be capable of forming inclusion complexes with the cyclodextrin in example 1. In this way, 16-iodo-3-methylhexadecanoic acid, a fatty acid used as a contrast agent for medical imaging, which had already been solubilised in cyclodextrins, as described in FR-A-2 726 765 [12], was solubilised in an aqueous medium by forming an inclusion complex.

Figure 7 represents the neutron diffusion spectra obtained with:

- the solution of nanoparticles of cyclodextrin according to example 1 and the fatty acid (1:0.5 eq. mol) (spectrum 9);
- the solution of nanoparticles of cyclodextrin according to example 1 and the fatty acid (1:1 eq. mol) (spectrum 10); and
- the solution of nanoparticles of cyclodextrin alone (spectrum 11).

In this figure, the theoretical spectrum (spectrum 12) simulating spheres has also been represented.

In this figure, it can be seen that the incorporation of fatty acid molecules in the nanoparticles of the cyclodextrin according to example 1 results in marked modifications on the diffusion spectra. The intensity  $I(q)$  is increased. The Intensity  $I(q)$  is proportional to the volume of nanoparticles. The presence of additional molecules (fatty acid molecules) in the nanoparticles increases the value of the contrast and therefore the intensity.

The incorporation in mono-6-(cholest-5-en-3 $\alpha$ -ylamide)succinylamide-6-deoxy-2,2',2'',2''',2''''',2''''',2''''',6',6'',6''',6''''',6''''',6''''''-trideca-O-methyl-cyclomaltoheptaose nanoparticles of  
 5 the following hydrophobic compounds:  
     - dothiepin (neurotrope),  
     - chloramphenicol (bacteriostatic),  
     - vitamin A, and  
     - esculin (vascular wall tonic),  
 10 were also detected by neutron diffusion.

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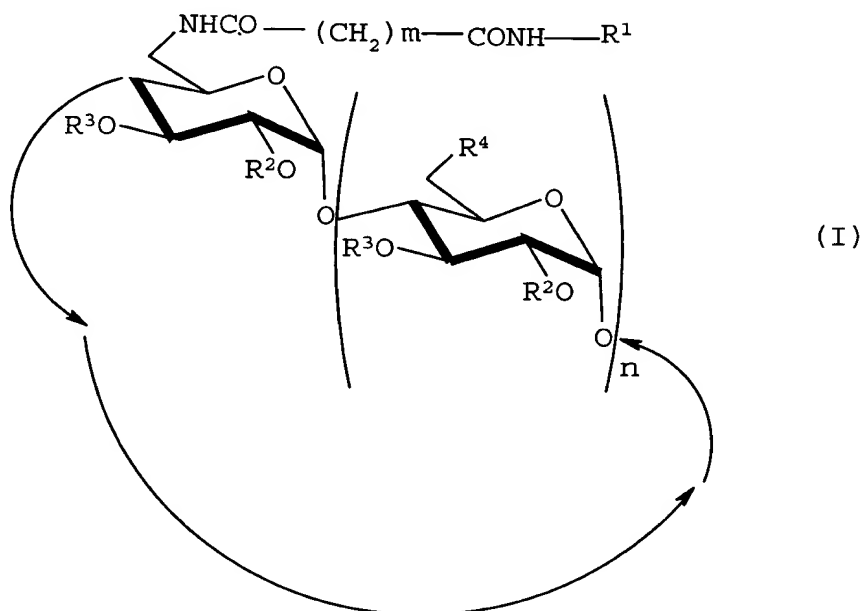
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New Ed., IRL Press, Oxford University Press, 1990.

[12]: FR-A-2 726 765.



# CLAIMS

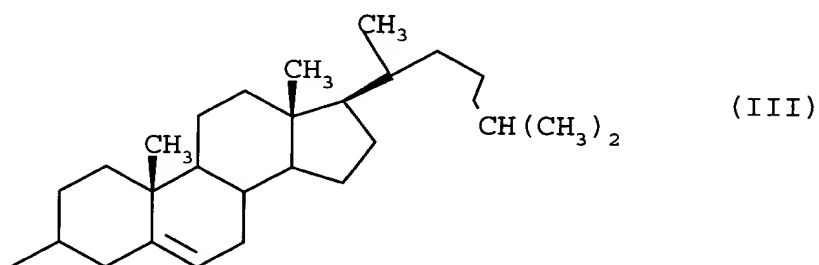
1. Amphiphilic cyclodextrin derivative complying with the formula:



wherein:

- $R^1$  represents a group derived from a steroid,
- 5      -  $R^2$  represents an alkyl or aryl group, substituted if applicable,
- $R^3$  represents H or  $R^2$ ,
- all the  $R^4$  represent  $OR^2$ , or
- one of the  $R^4$  represents  $-NHCO(CH_2)_mCONHR^1$ , and
- 10    the other  $R^4$  represent  $OR^2$  provided that there is at least one glucose unit where  $R^4$  represents  $OR^2$  between the two glucose units comprising the substituent  $-NHCO-(CH_2)_m-CONH-R^1$ ,
- $m$  is an integer ranging from 1 to 8, and
- 15    -  $n$  is equal to 5, 6 or 7.

2. Cyclodextrin derivative according to claim 1 wherein  $R^1$  represents the group according to the formula:



5 3. Cyclodextrin derivative according to claim 1 or 2, wherein all the  $R^4$  represent  $OR^2$ .

4. Cyclodextrin derivative according to any of claims 1 to 3, wherein  $R^2$  represents the methyl group  
10 and  $R^3$  represents a hydrogen atom.

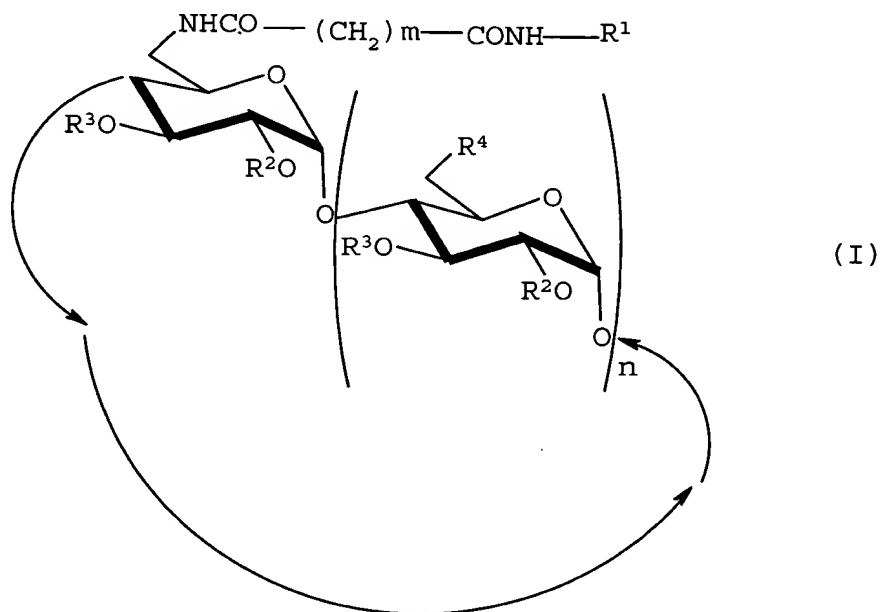
5. Cyclodextrin derivative according to any of claims 1 to 4, wherein  $n$  is equal to 6.

15 6. Cyclodextrin derivative according to any of claims 1 to 5, wherein  $m$  is equal to 2.

7. Mono-6-(cholest-5-en-3 $\alpha$ -ylamide)succinylamide-6-deoxy-2,2',2'',2''',2''''',2''''',2''''',6',6'',6''',  
20 6''''',6''''',6''''''-trideca-O-methyl-cyclomaltoheptaose.

8. Method to prepare a cyclodextrin derivative according to the formula:

25

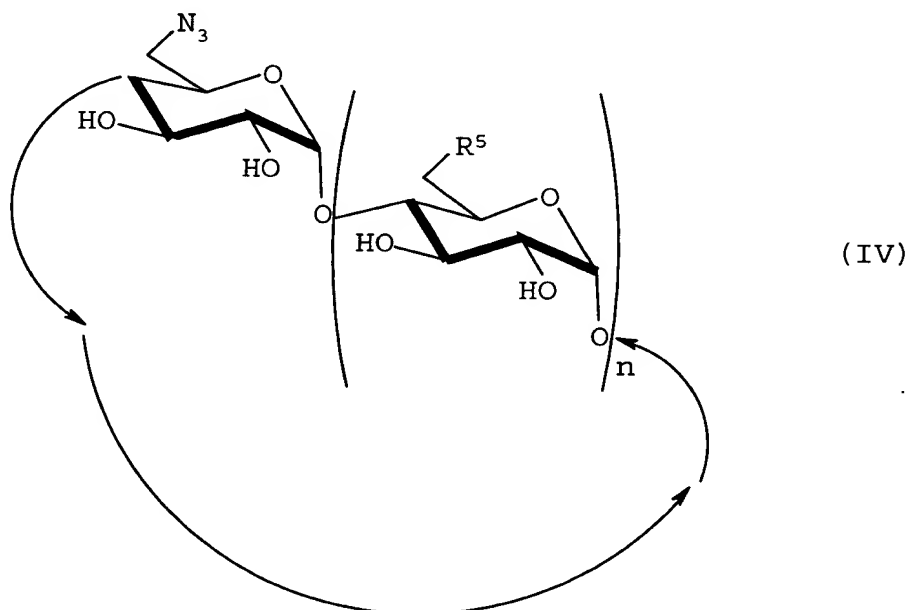


wherein:

- $R^1$  represents a group derived from a steroid,
- $R^2$  represents an alkyl or aryl group, substituted if applicable,
- 5      -  $R^3$  represents H,
- all the  $R^4$  represent  $OR^2$ , or
- one of the  $R^4$  represents  $-NHCO(CH_2)_mCONHR^1$ , and the other  $R^4$  represent  $OR^2$  provided that there is at least one glucose unit where  $R^4$  represents  $OR^2$  between
- 10    the two glucose units comprising the substituent  $-NHCO-(CH_2)_m-CONH-R^1$ ,
- $m$  is an integer ranging from 1 to 8, and
- $n$  is equal to 5, 6 or 7,

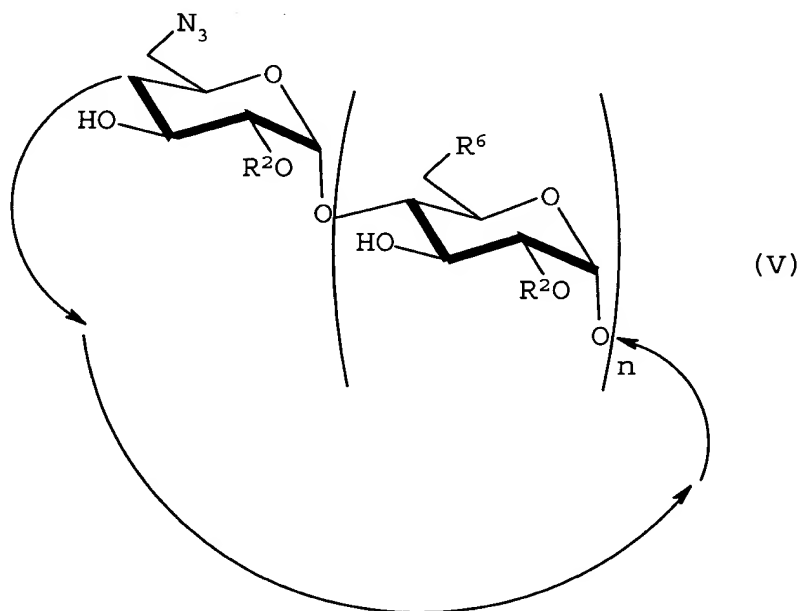
which comprises the following steps:

- 15    a) react a derivative according to the formula:



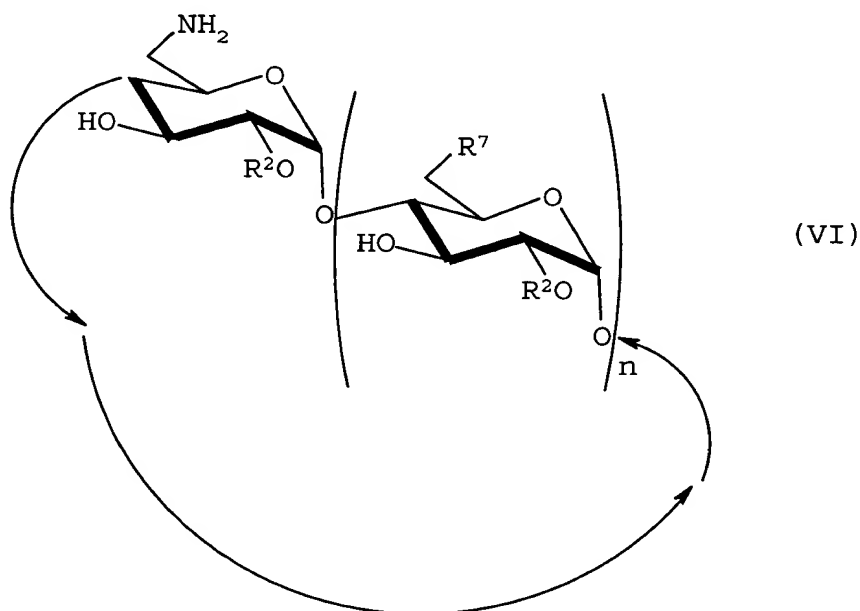
wherein all the  $R^5$  represent OH, or one of the  $R^5$  represents  $-N_3$  and the other  $R^5$  represent OH, provided that there is at least one glucose unit where  $R^5$  represents OH between the two glucose units comprising the  $N_3$  substituent, and  $n$  is equal to 5, 6 or 7,

with a dialkyl sulphate  $SO_4R^2_2$  where  $R^2$  has the significance given above, in a basic medium to obtain the cyclodextrin derivative according to the formula:



wherein all the  $R^6$  represent  $OR^2$ , or one of the  $R^6$  represents  $N_3$  and the other  $R^6$  represent  $OR^2$ , and  $R^2$  and  $n$  are as defined above,

- 5        b) perform a Staudinger reaction on the derivative according to formula (V) using triphenylphosphine and ammonia to convert  $N_3$  into  $NH_2$  and obtain the derivative according to the formula:



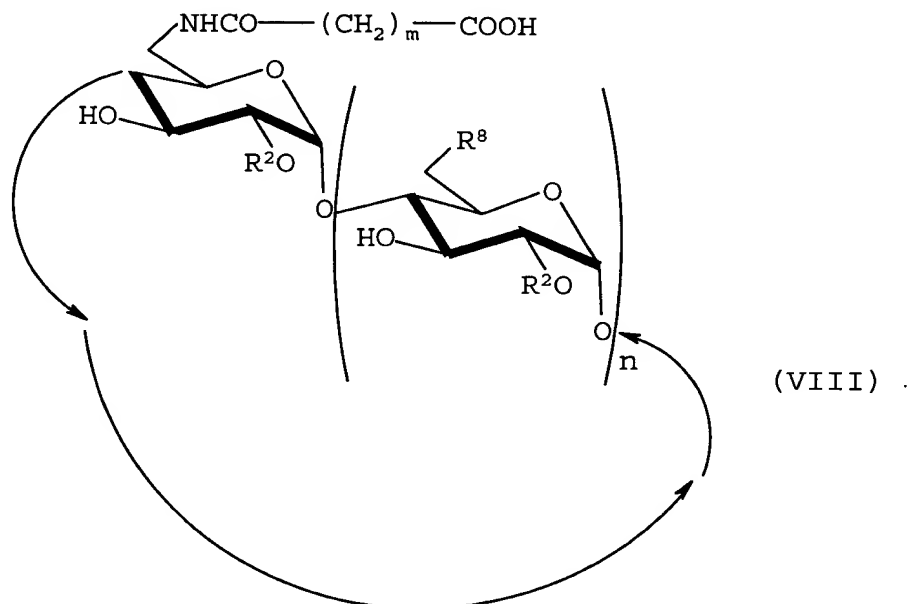
- 10        wherein all the  $R^7$  represent  $OR^2$ , or one of the  $R^7$  represents  $NH_2$  and the other  $R^7$  represent  $OR^2$ , and  $R^2$  and  $n$  are as defined above,

- c) react the derivative according to formula (VI) with an acid anhydride according to the formula:



15

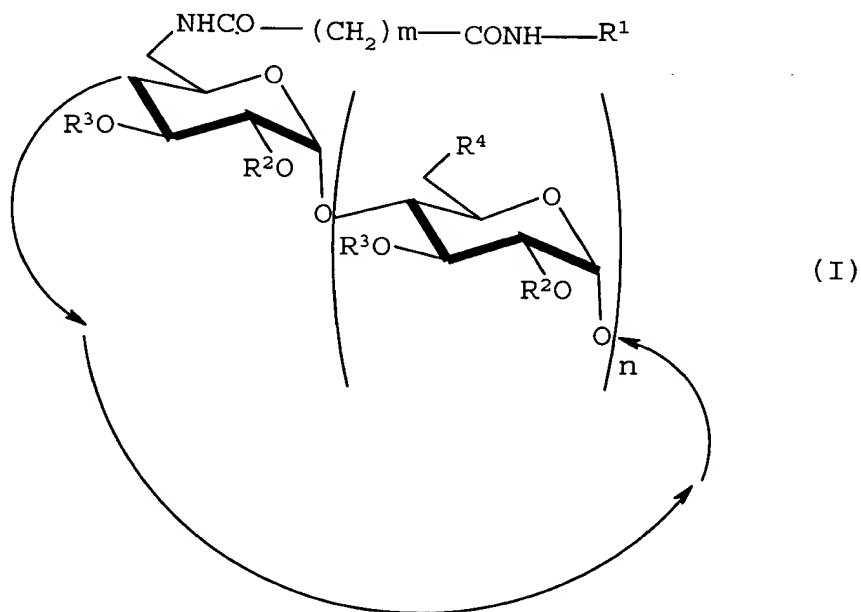
where  $m$  is as defined above, to obtain the derivative according to the formula:



wherein all the R<sup>8</sup> represent OR<sup>2</sup>, or one of the R<sup>8</sup> represents —NHCO—(CH<sub>2</sub>)<sub>m</sub>—COOH and the other R<sup>8</sup> represent OR<sup>2</sup>, and R<sup>2</sup>, m and n are as defined above, and

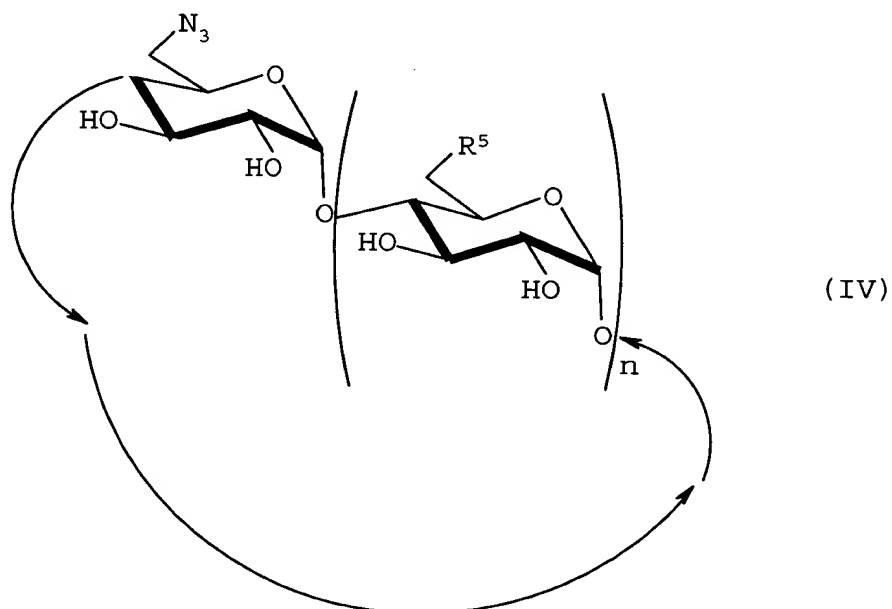
- d) react the derivative according to formula  
 5 (VIII) with a compound according to the formula NH<sub>2</sub>-R<sup>1</sup> to obtain the cyclodextrin derivative according to formula (I) defined above.

9. Method to prepare a cyclodextrin derivative  
 10 according to the formula:



wherein:

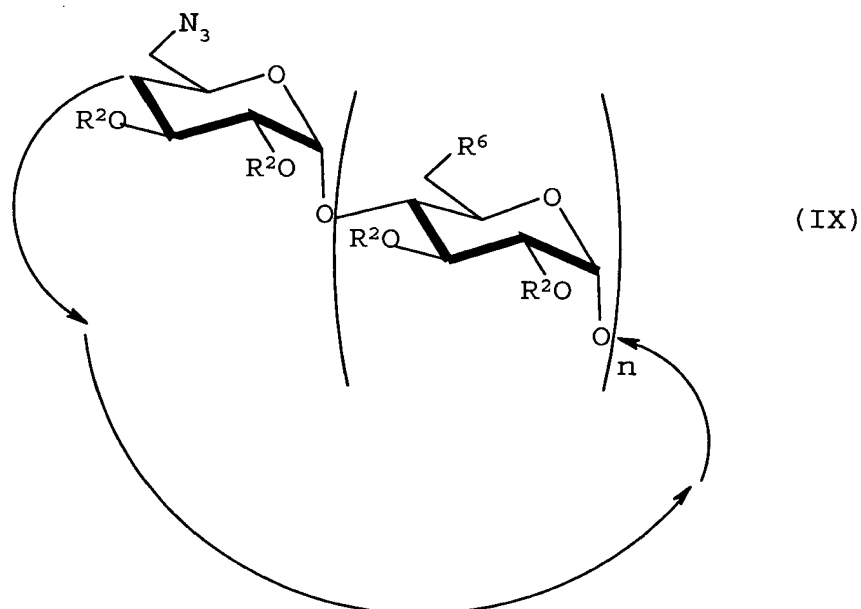
- $R^1$  represents a group derived from a steroid,
  - $R^2$  represents an alkyl or aryl group, substituted if applicable,
  - 5     -  $R^3$  represents  $R^2$ ,
  - all the  $R^4$  represent  $OR^2$ , or
  - one of the  $R^4$  represents  $-NHCO(CH_2)_mCONHR^1$ , and the other  $R^4$  represent  $OR^2$  provided that there is at least one glucose unit where  $R^4$  represents  $OR^2$  between
  - 10    the two glucose units comprising the substituent  $-NHCO-(CH_2)_m-CONH-R^1$ ,
  - $m$  is an integer ranging from 1 to 8, and
  - $n$  is equal to 5, 6 or 7,
- which comprises the following steps:
- 15    a) react a derivative according to the formula:



wherein all the  $R^5$  represent OH, or one of the  $R^5$  represents  $-N_3$  and the other  $R^5$  represent OH, provided that there is at least one glucose unit where  $R^5$

represents OH between the two glucose units comprising the  $N_3$  substituent, and  $n$  is equal to 5, 6 or 7,

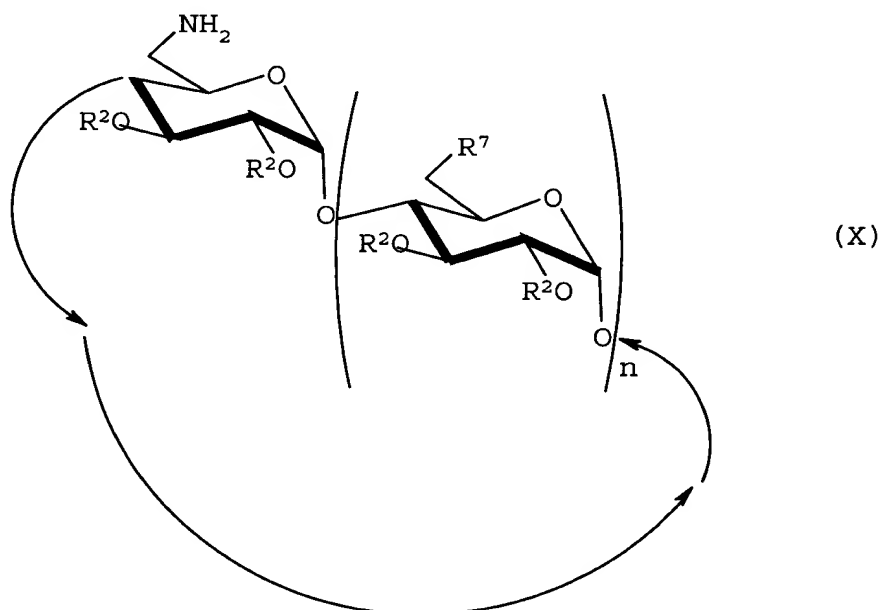
with an iodoalkane according to the formula  $IR^2$  wherein  $R^2$  has the significance given above, in the presence of NaH to obtain the cyclodextrin derivative according to the formula:



wherein all the  $R^6$  represent  $OR^2$ , or one of the  $R^6$  represents  $N_3$  and the other  $R^6$  represent  $OR^2$ , and  $R^2$  and  $n$  are as defined above,

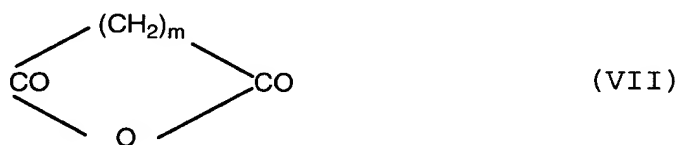
b) perform a Staudinger reaction on the derivative according to formula (IX) using triphenylphosphine and ammonia to convert  $N_3$  into  $NH_2$  and obtain the derivative according to the formula:



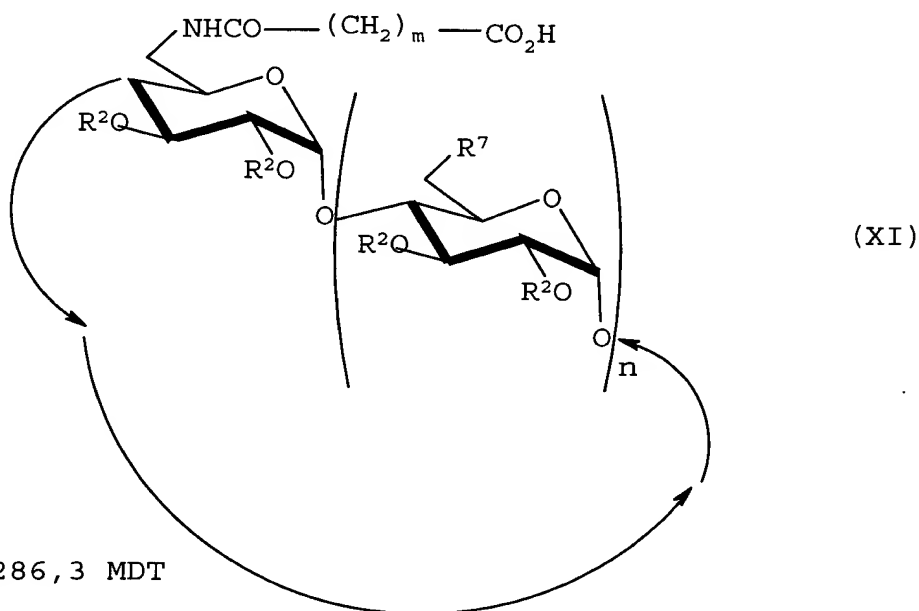


wherein all the  $R^7$  represent  $OR^2$ , or one of the  $R^7$  represents  $NH_2$  and the other  $R^7$  represent  $OR^2$ , and  $R^2$  and  $n$  are as defined above,

- c) react the derivative according to formula (X)  
5 with an acid anhydride according to the formula:



where  $m$  is as defined above, to obtain the derivative according to the formula:



wherein all the  $R^7$  represent  $OR^2$ , or one of the  $R^7$  represents  $-NHCO-(CH_2)_m-COOH$  and the other  $R^7$  represent  $OR^2$ , and  $R^2$ ,  $m$  and  $n$  are as defined above, and

d) react the derivative according to formula (XI)  
5 with a compound according to the formula  $NH_2-R^1$  to obtain the cyclodextrin derivative according to formula (I) defined above.

10. Inclusion complex of a cyclodextrin derivative  
10 according to any of claims 1 to 7, and a hydrophobic compound.

11. Complex according to claim 10, wherein the hydrophobic compound is chosen from steroids,  
15 neurotropes, bacteriostatics, vitamins, vascular wall tonics and contrast agents.

12. Complex according to claim 10, wherein the hydrophobic compound is chosen from 16-iodo-3-  
20 methylhexadecanoic acid, dothiepin, chloramphenicol, vitamin A and esculin.

13. Aqueous solution of nanoparticles of a cyclodextrin derivative according to any of claims 1 to  
25 7 or an inclusion complex according to any of claims 10 to 12.

14. Organised surfactant system comprising a cyclodextrin derivative according to any of claims 1 to  
30 7 or an inclusion complex according to any of claims 10 to 12.

15. System according to claim 14 wherein the surfactant is a phospholipid.

5        16. Aqueous solution comprising in solution a combined system formed from phospholipid or membrane protein vesicles, and at least one cyclodextrin derivative according to any of claims 1 to 7 or at least one cyclodextrin derivative inclusion complex  
10 according to any of claims 10 to 12.

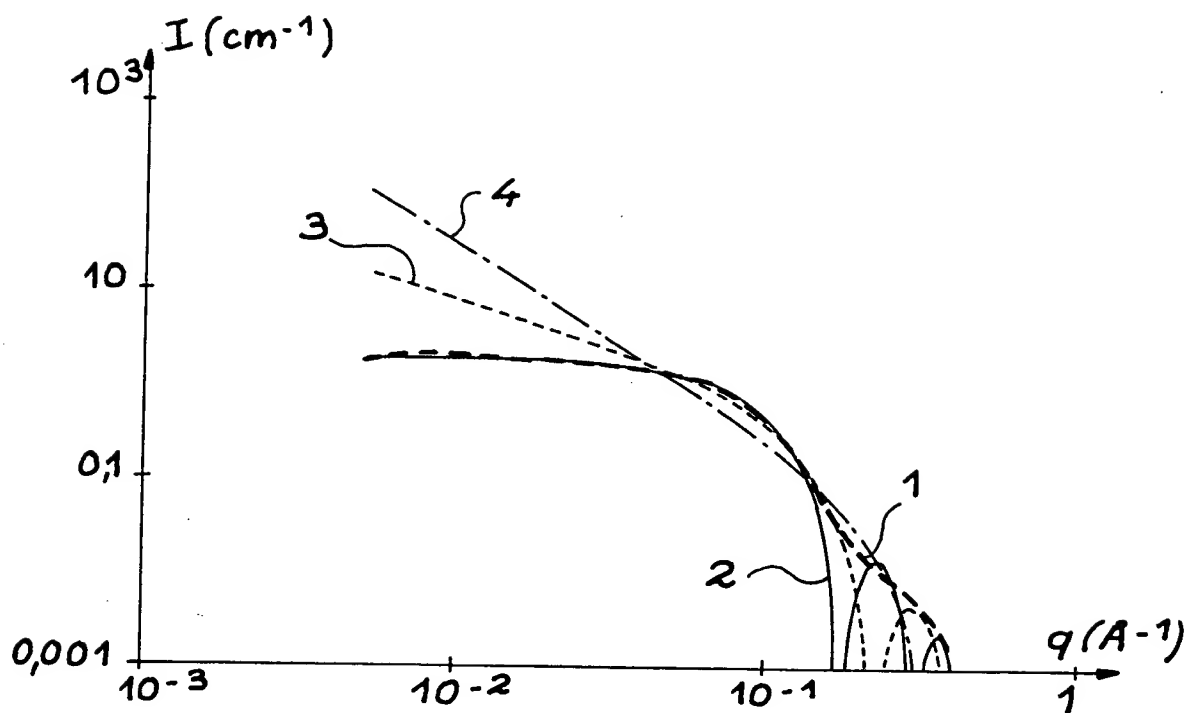


FIG. 1

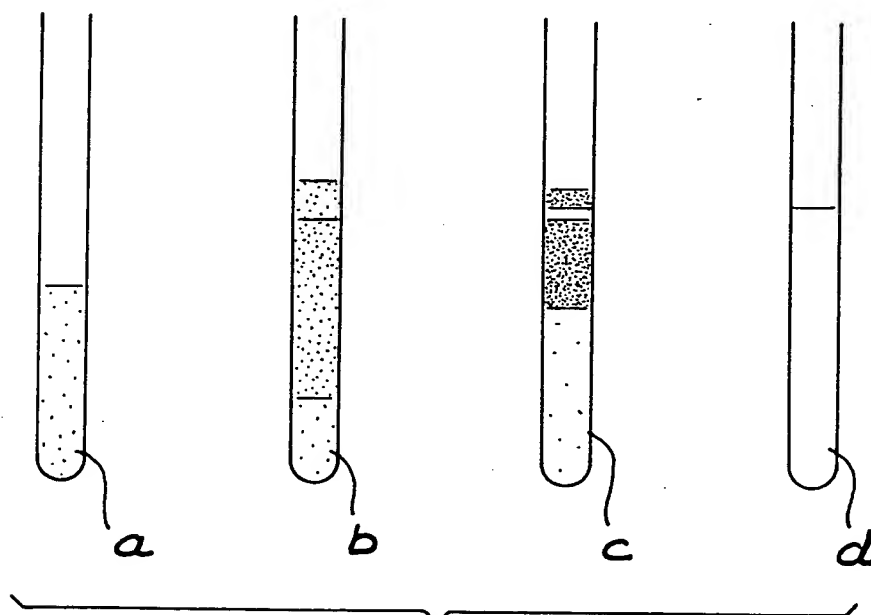


FIG. 2

FIG. 3

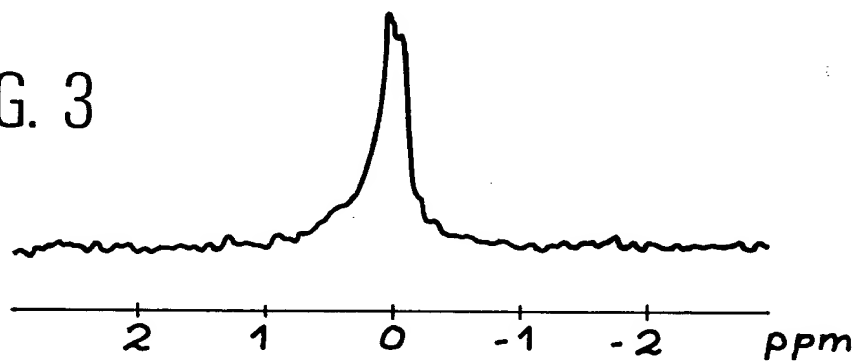


FIG. 4

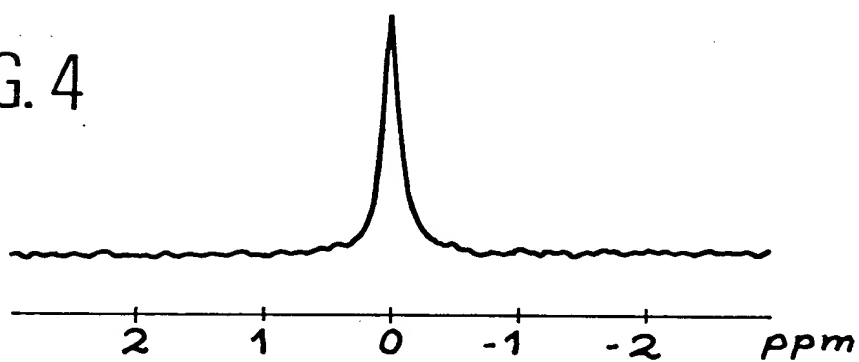
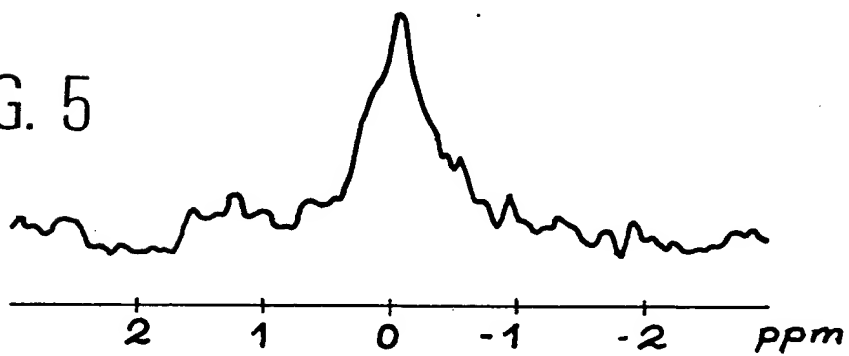


FIG. 5



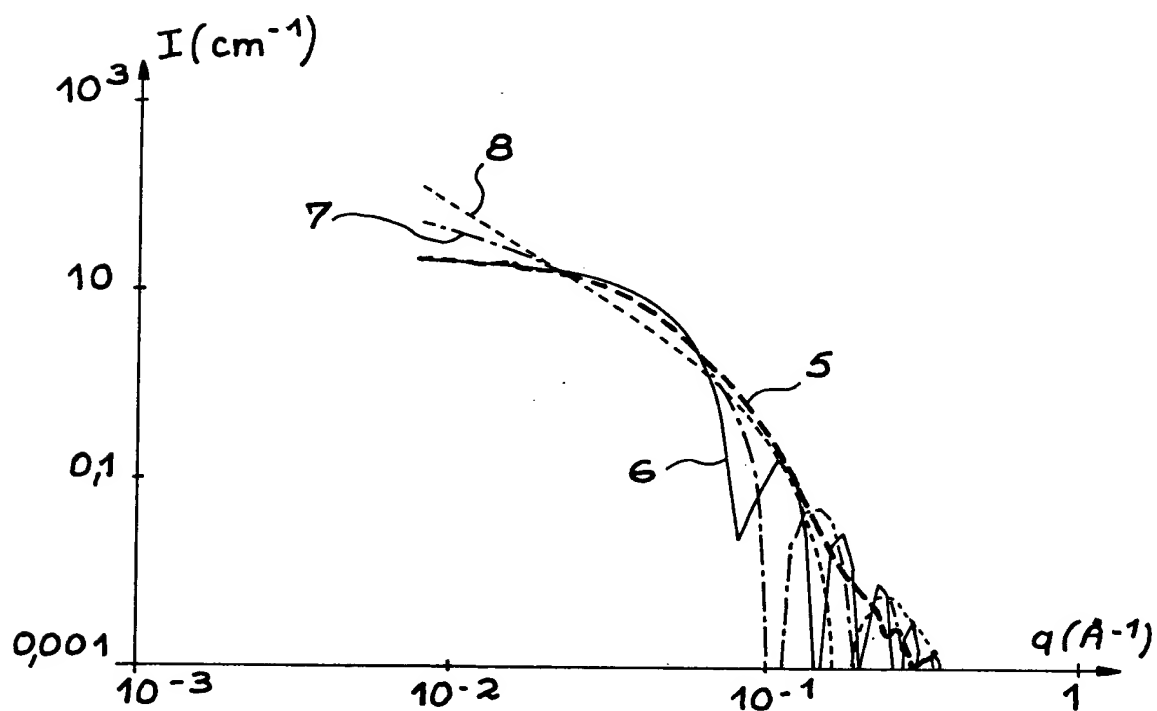


FIG. 6

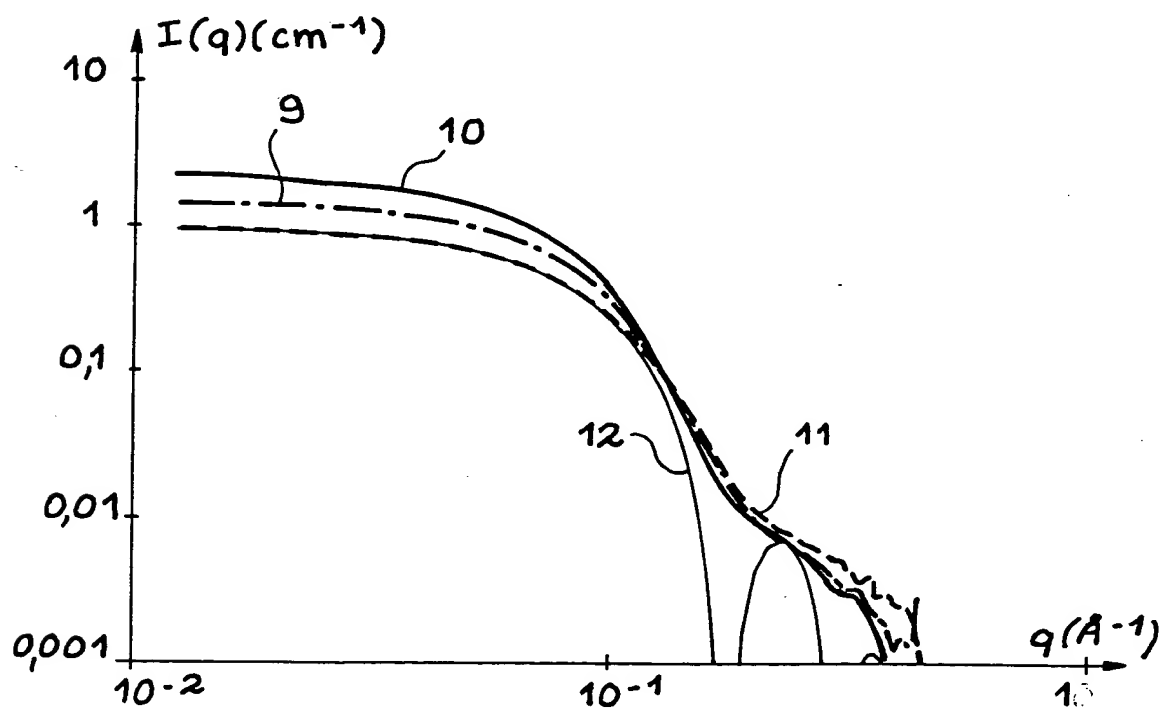


FIG. 7